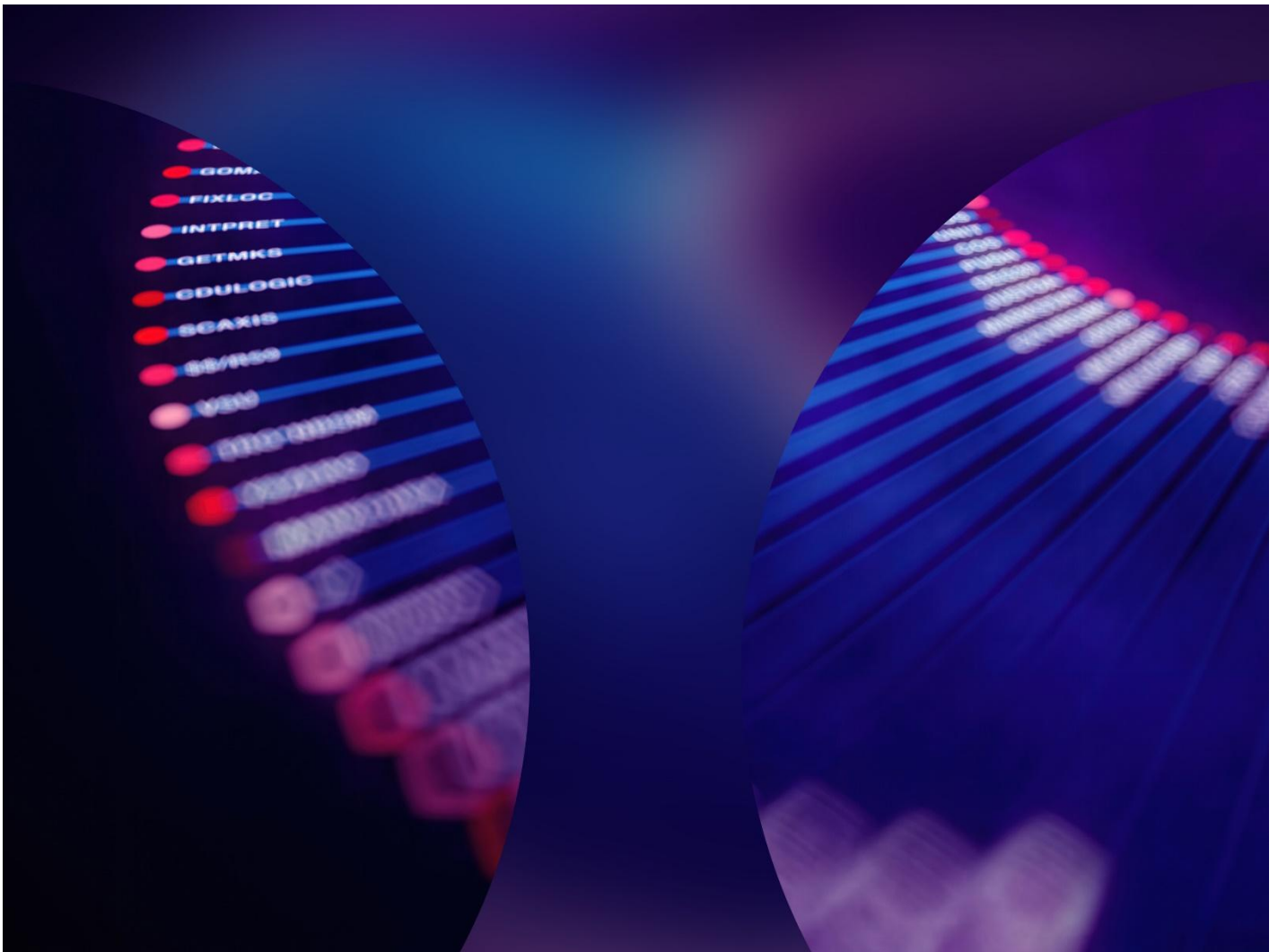


DIP CR 0015 'Introducing Level 3 & 4 Response Codes into the DIP Rules' Final Assessment

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Contents

Summary	3
- About this document	
- Executive summary	
Overview	5
- Background	
- Issue	
- Solution	
- Proposers red-lined legal text	
Impacts, benefits, and risks	7
- Impacts	
- Benefits	
- Risk	
DIP CR tier, progression, and implementation/recommendation	9
- Change Tier	
- Progression timeline	
- Consultation questions	
- Consultation responses	
- Recommendation	

Summary

About this document

You can find the definitions of the terms and acronyms used in this document in the [DIP Glossary](#)¹.

This document provides information on a DIP CR. It provides an assessment of the proposed change and its progression.

There are four parts to this document, which are:

- This document. It is the main document providing insight into the change, solution, and progression.
- **Attachment A** contains the **DIP CR Proposal Form**.
- **Attachment B** contains the amendments to **DSD002 – Connection and Operation, Annex 2**.
- **Attachment C** contains the new annex, **Annex 5 – DIP Message Validation Codes**, to **DSD002**.
- **Attachment D** contains all the non-confidential consultation responses.
- **Attachment E** contains the amended, red-lined text post consultation to **DSD002 – Connection and Operation, Annex 2**.
- **Attachment F** contains the amended, red-lined text post consultation to **Annex 5 – DIP Message Validation Codes**, to **DSD002**

Timeline for DIP CR 0015 'Introducing Level 3 and 4 validation codes into the DIP Rules.'



Executive summary

The DIP Rules outline the obligations that DIP Users must fulfill, including the process for validating messages exchanged between each other. Message validations within a message channel¹ are categorised into four levels, ranging from 1 to 4². Levels 1 and 2 involve synchronous³ and asynchronous⁴ validations performed by the DIP, while the DIP Users themselves handle Levels 3 and 4, also with both synchronous and asynchronous validations.

However, the DIP Rules do not specify response codes for DIP Users to utilise at Levels 3 and 4. This lack of clarity is affecting how applicants, potential users, and existing DIP Users develop their systems to manage both synchronous and asynchronous validations. To address this issue, this DIP CR proposes introducing response codes into the DIP Rules by adding a new Annex to **DSD0002 – DIP Connection and Operation** requirements as part of the DIP Managers' November Release.

	Impacts	Explanation
DIP Users	Positive	Clarity is provided for Level 3 and 4 validations.
DIP Manager	Positive	Ensures message channels aren't impacted by issues with DIP Users' ability to validate messages.
DIP Rules	Positive	Introducing the response codes into the DIP Rules will ensure DIP Users

¹ [DSD002 –Detailed DIP Operational Requirements, Annex 2](#), 7.6.1

² [DSD002 –Detailed DIP Operational Requirements, Annex 2](#), 7.4.4.

³ At the same time, at the same frequency.

⁴ .Not synchronous; occurring at different times.

DIP CR 0015 'Introducing Level 3 & 4 Response Codes into the DIP Rules' Final Assessment

are aware of what is included at Level 3/4 validations.

DIP objectives	Positive	Objective (a) will be fulfilled as this DIP CR improves DIP users' ability to provide accurate and timely support for sharing relevant market data.
Industry Codes	Positive	This DIP CR will support DIP Users and their Code Obligation.
Cost	Medium	This is a document change to clarify how DIP Users should otherwise be developing their systems. DIP Users have indicated this will cost between £50k - £125k.
Change Tier	One	This DIP CR introduces new requirements for DIP Users into the DIP Rules.

Overview

Background

The Market-wide Half-Hourly Settlement Programme (MHHSP) has introduced a new Target Operating Model (TOM) to facilitate updated market arrangements. A key component of this initiative included implementing new interfaces for messages that are utilised within the middleware service known as the DIP. These interfaces are described in a design document, MHHSP-DES138 Interface Catalogue.

The design document, MHHSP-DES138 Interface Catalogue, aims to align with the other end-to-end (E2E) architecture documentation that is part of the Target Operating Model (TOM). It serves as a basic overview of the key elements and messages used in the DIP. The document outlines the different levels of validations that will be performed by the DIP, such as checking message environment codes and message schema versions. Additionally, it details how participants can implement interface validation levels for the initial receipt of messages.

For participants, it was noted that the 'interface validation levels and error codes' included in the document are for illustrative purposes. Participants—such as applicants, potential users, and existing DIP users—are encouraged to consider what types of checks they would like to perform when receiving a message.

Participants saw this document as guidance rather than a requirement. Consequently, they began developing their systems to incorporate message validations. Some of these validations aligned with the design document, while others deviated from it. As a result, the validations could differ from one user to another in the DIP, which affects how messages are received and validated.

As participants began qualifying for the MHHS arrangement and onboarding to the DIP, the DIP Manager noticed that some participants had not incorporated Level 3 and Level 4 response codes into their systems. This omission was causing issues for DIP users regarding message validation. This discrepancy could become significant as more Market Participants complete their Industry Code Qualification and onboarding to the DIP.

To address this issue, the DIP Manager presented its findings to the MHHSP Expert Group (EG) and sought feedback from participants and Industry Code Bodies on how the issue could be addressed. It was recommended that the DIP Manager develop a DIP CR to address the issue.

What is the issue?

The DIP Rules, which are obligations for DIP Users to meet, detail the level of validations that occur when a message is exchanged. There are:

- Level 1 - initial synchronous validation by the DIP;
- Level 2 - secondary asynchronous validation by the DIP;
- Level 3 - initial synchronous validation by the DIP User; and
- Level 4 - secondary asynchronous validation by the DIP User.

The DIP Rules do not detail at Level 3 and/or Level 4 validations the response codes included in the MHHSP design document. Rather, the DIP Rules (DSD002, Annex 2) explain that "each message channel has both synchronous and asynchronous methods for reporting status/error messages back to the Sender." The issue created is that the lack of clarity in the MHHSP design document has led to erroneous interpretations of the requirements, and it is impacting how applicants, potential, and DIP Users are developing their systems to handle synchronous/asynchronous acceptance/validation within the message channel used by a DIP User(s).

Solution

To resolve the issue, it is proposed to uplift the response codes used for Level 3 and 4 validations in the MHHSP design document into the DIP Rules as part of a new Annex. This will ensure that Market Participants are aware of what they need to include for their systems to handle synchronous/asynchronous acceptance/validation within the message channel used by a DIP User(s).

DIP Manager view

Updating the response codes from the retired MHHSP-DES138 Interface Catalogue will create a single source of truth for DIP Users regarding the response codes necessary for their system validations.

Proposers rationale

Incorporating response codes into the DIP Rules will clarify the Level 3 and 4 validations for DIP users. This change will help minimize errors that may arise from Market Participants either adhering to or overlooking the requirements outlined in the MHHSP design document.

Proposers red-lining

Document	Section	Amendment
DSD002, Annex 2	7.6	Amending this section to include a reference to a new Annex that has the Level 3 and 4 response codes.
DSD002, Annex 5 (new)	Whole document	This is a new annex. It introduces Level 3 and 4 response codes that the DIP Manager has developed from MHHSP documents (DES138).

Note that the full text or amendments can be found within the legal text **Attachment(s) B and C**.

Note that the full text or amendments post consultation can be found within the legal text **Attachment(s) E and F**.

Impacts, benefits, and risks

Impacts

The current extent and impact of this issue are not yet clear because Market Participants are in the process of Qualification. However, the DIP Manager and others have observed that participants qualifying and onboarding to the DIP have varied interpretations of the MHHS TOM requirements for Level 3 and 4 validations. This discrepancy could become significant as more Market Participants complete their Industry Code Qualification and onboarding to the DIP.

Benefits

The introduction of Level 3 and Level 4 response codes into the DIP Rules will provide clarity for applicants, potential DIP users, and current DIP users regarding the validation of messages. This is important because it ensures that all users of the DIP are following consistent practices when sending and receiving messages.

Risks

Currently, applicants, potential users, and existing DIP users have built their systems based on their interpretations of the E2E architecture documents. Each of these groups did this to qualify for various Industry Codes and to onboard to the DIP. Modifying the DIP Rules to incorporate new message requirements poses a risk to onboarding to the DIP.

If participants are unable to onboard to the DIP, it may impact their ability to qualify for various Industry Codes. This could pose a risk to the MHHS Milestone 14 (M14), which requires all DIP Users, specifically electricity suppliers, to have the necessary systems and services in place to accept MPANs under the new TOM.

Both of these risks are significant. However, the implementation of additional codes into the messages used within the DIP by the DIP Manager is not considered burdensome; it should be relatively straightforward. Furthermore, the DIP Manager has provided guidance to assist applicants, potential users, and current DIP users in understanding the requirements for Level 3 and Level 4 validations within message channels. This initiative encourages early adoption of the DIP CR before its official approval.

Affected party/area	Impact, benefit, or risk	Explanation
DIP Rules	Positive impact and benefit	Provides clarity on the validations for DIP Users to use for Level 3 and 4 validations.
DIP System	Positive impact and benefit	Will ensure the DIP System can operate without interruptions due to issues between DIP Users' validations at Level 3 and 4.
DIP User	Positive impact and benefit	Ensures that Level 3 and 4 validations are consistent from user to user.
DIP Manager	Positive impact and benefit	Enable the DIP Manager to continue to operate a service that isn't affected by design issues.
DIP Service Provider	Neutral	
Industry Codes	Positive impact and benefit	Level 3 and 4 validations will support Level 5 and 6 validations.
DIP Manager Cost	Low	This is a document change for the DIP Manager and will have minimal cost.

DIP CR 0015 'Introducing Level 3 & 4 Response Codes into the DIP Rules' Final Assessment

This change will likely have minimal costs to DIP Users. However, the DIP Manager is consulting on this change to ensure any additional or hidden costs to those seeking or using the DIP are captured and understood.

DIP User Cost

Low

DIP Objective	Impact	Rationale
<p>Applicable DIP Objective (a)</p> <p>Provide accurate and timely support for the sharing of applicable market data.</p>	Positive	Improves DIP users' ability to provide accurate and timely support for sharing relevant market data.
<p>Applicable DIP Objective (b)</p> <p>Further consumer interests through the appropriately governed sharing of data.</p>	Netural	
<p>Applicable DIP Objective (c)</p> <p>Facilitate competitive change and innovation through the efficient and economic delivery of reliable and adaptable services.</p>	Netural	

DIP CR tier, progression, and implementation/recommendation

Change Tier

Tier	Criteria	Explanation
Tier 1	<ul style="list-style-type: none"> an implementation cost greater than £500,000 for the DIP Manager and/or £250,000 for DIP Users; placing new obligations on DIP Users and/or the DIP Manager that will require a change to the DIP User's business operating model; an Implementation Date will be more than 24 months after the date on which the decision is made. 	This DIP Change Request introduces a new requirement for DIP Users to incorporate into their systems. As such, it is classified as a Tier 1 change and will be determined by the DCAB.
Tier 2	<ul style="list-style-type: none"> All other changes. 	This DIP CR is introducing a new requirement for DIP Users to incorporate into their system. Thus, it is not 'all other change'. Rather, it is a Tier 1 change. In turn, it is not a Tier 2 change.

Progression timeline (before consultation)

Event	Date
DIP CR Raised	16 March 2026
Initial Assessment published	17 March 2026
Industry Consultation	17 March 2026 – 14 April 2026
Final Assessment published	28 April 2026
DIP CR presented for decision	12 May 2026 (pending DCAB approval)
Decision published	13 May 2026 (pending DCAB approval)
Proposed Implementation Date	25 June 2026 (pending DCAB approval)

Reason to consult

The DIP Manager emphasizes the importance of consulting on this DIP Change Request (CR). This consultation is necessary because the issue has been discussed in various industry forums, including the MHHS Expert Group and the DIP Change and Advisory Board (DCAB) meetings. As a result, it is visible to many stakeholders in the industry. By consulting on this CR, we can allow those who have been affected, as well as those who might be affected in the future, to assess the situation. This process ensures that their opinions are heard.

Consultation questions

To allow stakeholders to scrutinize CR 0015, the DIP Manager will ask:

Question	Reason to ask
Has the DIP Manager appropriately understood the issue?	It ensures the DIP Manager and those who respond are in agreement on what the issue is.
Has the issue impacted your organisation?	
If so, what has been the impact?	It enables respondents to detail the impact, costs, and risks this issue may be causing them (if at all).
Do you agree with the proposed solution?	
If so, do you agree with the red-lined text in DSD002, Annex XX?	
<p>If so, do you agree with the response codes in:</p> <ul style="list-style-type: none"> • Table 1 (page 4 of DSD002, Annex 5) and/or • Table 2 (page 14 DSD002, Annex 5). 	Respondents can provide reasons they support (or do not) the red-lined text the DIP Manager has developed.
Do you agree that the red-lined delivers the solution that addresses the issue in this DIP CR?	Respondents can detail and/or provide reasons whether the solution addresses the issue in this DIP CR.
Will the solution in this DIP CR incur any costs to your organisation?	Respondents can outline the cost this DIP CR may cause them (if at all).
Do you agree with the implementation approach of this DIP CR?	Respondents can explain whether they support the implementation approach of this DIP CR.
Do you have any additional comments on this DIP CR?	Ensures respondents can add further comments or considerations that the DIP Manager may have missed within their assessment.

Summary of Consultation Responses

The DIP Manager received 22 responses to the DCR0015 consultation, which occurred between **17 March** and **14 April 2026**. Responses came from various industry stakeholders, such as Data Services, Registration Services, Suppliers, Code Bodies, and others. **Attachment D** contains all non-confidential responses from respondents, and a summary of responses is within the table below. Additionally, the DIP Manager has provided a detailed response to each consultation question.

Question	Response
Has the DIP Manager appropriately understood the issue?	All respondents agreed that the DIP Manager had understood the issue.
Has the issue impacted your organisation?	<p>Most respondents indicated the issue had or was impacting their organisation. Various reasons were provided, including:</p> <ul style="list-style-type: none"> • Reporting issues • Status Message issues • Business process issues • Operational inefficiencies • Roles and responsibilities confusion
If so, what has been the impact?	Some respondents also provided detailed assessments of the impact the issue had caused (see below)
Do you agree with the proposed solution?	
<p>If so, do you agree with the red-lined text in DSD002, Annex XX?</p> <p>If so, do you agree with the response codes in:</p> <ul style="list-style-type: none"> • Table 1 (page 4 of DSD002, Annex 5) and/or • Table 2 (page 14 of DSD002, Annex 5). 	<p>All respondents agreed with the solution. However, a few respondents did caveat their agreement, which would be subject to clarification on compliance and amending aspects of the legal text drafting.</p>
Do you agree that the red-lined delivers the solution that addresses the issue in this DIP CR?	<p>Most respondents agreed that the red-lined text delivered the solution that addressed the issue.</p> <p>However, a few respondents questioned how the red-lined text would interact with the guidance note the DIP Manager had published.</p> <p>Further, a couple of respondents raised concerns about how status Messages⁵ are populated. Currently, they believe there isn't a standardised process/method to use. In turn, they are affected by others who may employ a different process/method to populate a status message.</p> <p>Moreover, one respondent sought clarity on some of the response codes. The respondent held concerns that the effort to implement them would outweigh their benefits.</p>

⁵ A Status Message is used to convey the results of both L2 validation undertaken by the DIP and L3/L4 undertaken by the Recipient to the message Sender .

Most respondents indicated there would be no cost associated.

Will the solution in this DIP CR incur any costs to your organisation?

A few respondents indicated there would be costs; the costs ranged from £50k to £150k.

Do you agree with the implementation approach of this DIP CR?

All respondents agreed that the CR should be implemented. However, a few respondents indicated concerns about the approach. Their concerns focused on being able to implement the codes into their systems (or others doing so) within a short period of time.

Do you have any additional comments on this DIP CR?

A few respondents provided additional comments. These comments focused on:

- Engagement with DIP Users on how non-compliance would be managed if the CR were implemented
- Enforcement and consequences for non-compliance
- Allowing time for DIP Users to develop their systems to be compliant with the proposed Rule changes
- Clarification on the red-line text
- Introducing further granularity into the response codes

DIP Manager's Detailed Response to Consultation Responses

Issue and impact

The responses indicate a strong and consistent understanding of the issue across participants, demonstrating clear alignment with the DIP Manager on the core problem to be addressed.

However, the nature and impact of the issue vary across respondents. Some emphasise its effect on message choreography, particularly in relation to how status Messages are used to communicate validation outcomes. Others highlight operational inefficiencies, including the need for manual workarounds to manage erroneous or generic messages, which in turn creates resource pressures.

In addition, several respondents point to the lack of clear and consistent response codes as a key driver of:

- Role ambiguity
- Inconsistent behaviours across DIP Users

Overall, the issue is having a significant and widespread impact. It is undermining the consistency of messages exchanged across the DIP and driving avoidable operational complexity and inefficiency across the industry.

Solution and red-lined text

The responses indicate that all respondents agreed with the solution as it would remove ambiguity. However, some respondents raised concerns. These concerns focus on:

- Specific response codes
- Amendments to the red-lined text to improve clarity on obligations and requirements for DIP Users.

Response Code	Concern	DIP Manager Response
RCP1006	Duplicated SUR appears to be a validation with high implementation effort for relatively low business value. It is expected to occur	The Sender Unique Reference (SUR) duplicate check is required so participants can send idempotent messages. The DIP Manager also

DIP CR 0015 'Introducing Level 3 & 4 Response Codes into the DIP Rules' Final Assessment

	mainly when the DIP retries sending a message or fails to detect a duplicate, making it an exception rather than the norm. Considering the effort required and the benefits to HHS, we question whether this should be mandatory. Additionally, if DAH proceeds with this validation, it is unlikely to meet the 25 June 2026 delivery timeline, as assessing the potential performance impact will also be necessary. Our primary concern is the benefit of this validation compared to the effort involved.	needs to monitor the frequency of duplicate messages and take appropriate actions if necessary.
RCP1023	Invalid value combinations should exclude the following ECS codes: ECS1015 - Estimation Reason Code cannot be null.	This code captures invalid combinations not captured by the dedicated checks, e.g., ECS1015
	Consumption Amendment Reason Codes cannot be null. Could you please provide the definitive list of value combinations that need to be validated? At this stage, we assume that validation will not be required for the following:	
ECS1016	<ol style="list-style-type: none"> 1. GSP Group matching the MPAN registration data 2. ECS validation codes related to the following values: <ul style="list-style-type: none"> - Settlement Period - Quality Indicator - Estimation Reason Code - Consumption Amendment Reason Code 	This question needs clarification from Elexon's Market Design team.
RCP1022	Invalid Values: whether there is a list of values that should be considered for validation under this rule?	This question needs clarification from Elexon's Market Design team.

DIP Manager response

The DIP Manager has reviewed the red-lined text recommendations and has implemented the changes respondents suggested. This includes clarifying obligations, removing references to Interfaces in development⁶, and amending the use of 'applicant and potential' DIP User.

The amended legal text is included in **Attachment E** (allowing respondents to see the difference between the consulted legal text and the changes made based on their feedback).

Further, the DIP Manager's response to specific suggestions is within **Appendix A**.

Cost and implementation

A few respondents indicated there would be no cost to implement the change. Thus, they supported an implementation of June. However, most respondents indicated there would be a cost to implement the change. This cost ranged from **£50k to £125k**. These costs would cover the design, test, and build of DIP Users' systems to incorporate the Response Codes.

DIP Manager response

The DIP Manager acknowledges that introducing system changes to support the document text can take time. To this end, it is proposed that DIP Users have the time to design, test, and build their systems; the implementation date must change. The DIP Manager's recommendation is that the implementation date should be **5 November 2026**. This date will allow Users time to implement the proposed changes within their systems.

Further, it will enable the DIP Manager to educate Users on the requirements they must fulfill before the changes are implemented (i.e., drop-in sessions, one-to-one support sessions). Taking this approach ensures that there is support for DIP Users whilst allowing the DIP Manager to monitor progress through engagement and queries.

After the changes are implemented, the DIP Manager will monitor DIP Users to determine whether there are any non-compliant systems. Where there are possible non-compliances, the DIP Manager will use its [investigation](#) and/or [escalation](#) process to determine next steps on a case-by-case basis.

Proposed Progression Timeline Post-Consultation

Event	Date
Final Assessment published	28 April 2026
DIP CR presented for decision	12 May 2026 (pending DCAB approval)
Decision published	13 May 2026 (pending DCAB approval)
Amended proposed Implementation Date	5 November 2026 (pending DCAB approval)

Additional comments

Respondents' additional comments focus on reinforcing previous concerns raised, such as:

- Implementation approach
- How specific response codes would function
- Critiques on the red-line text and consultation questions (i.e., missing question on DSD002, Annex 2), and
- Assurance and compliance of DIP Users by the DIP Manager.

DIP Manager response

The DIP Manager has amended the implementation approach. This will allow DIP Users time to design, test, and build their systems. Additionally, it provides DIP Users certainty on what they are building to, as approval (if granted) will ensure there is legal text that will be implemented into the DIP Rules. The ambiguity will be removed.

Any future changes to the response code, before or after implementation, would be subject to the [DIP Change process](#).

The DIP Manager has provided guidance on how specific response codes operate. This will be included in any further guidance developed by the DIP Manager on how the response codes should function.

The DIP Manager has implemented the recommended changes to the draft legal text based on the suggestions from respondents, which can be found in **Attachment E**. The omission of a question for DSD002 in Annex 2 was an oversight. However, respondents had the opportunity to comment on the red-lined text as a whole, including DSD002 in Annex 2, or to provide additional feedback on any aspect of CR. The DIP Manager acknowledges that this oversight may have created inefficiencies for the respondents.

DIP Users agree to comply with the DIP Rules outlined in their access agreement. This includes staying updated and compliant with any changes to the DIP Rules that may impact their business operating model. To support compliance and enforcement, the DIP Manager will utilize various methods. For instance, the DIP Manager will hold drop-in sessions over the coming months to provide all DIP Users with the opportunity to discuss how they can maintain a compliant system before the legal text is implemented. Additionally, the DIP Manager will monitor the performance of DIP Users. If any issues arise, the DIP Manager will investigate and escalate them as necessary.

DIP CR 0015 'Introducing Level 3 & 4 Response Codes into the DIP Rules' Final Assessment

The DIP Manager will take appropriate actions to address issues that arise from non-compliance with the DIP Rules. However, this CR does not aim to resolve issues between senders or how such situations are handled between users. The DIP Manager has not included guidelines for managing these scenarios because it does not 'own' the data in the messages or the related business processes. These responsibilities lie with BSC, REC, and DCUSA. Therefore, it is up to these code bodies to define the remediation processes between participants, establish potential Service Level Agreements (SLAs) concerning this issue, and create escalation paths for parties that do not comply.

Implementation

DIP Manager recommends an Implementation Date for **CR0015** of:

- **November 2026** as part of the standard November DIP Release.

Appendix A - Red-line Changes to DSD002, Annex 2 and Annex 5

Respondent Comment	Change
<p>A respondent suggested the wording in DSD002, Annex 2, and Annex 5 (new) could be clearer for DIP Users.</p>	<p>The wording for DSD002, Annex 2, 7.5.4 has changed to:</p> <p><i>“Level 3 and Level 4 message validation, as set out in DSD002 Annex 5, is mandatory for all DIP Users. DSD002 Annex 5 sets out the response codes required to be used for Level 3 and Level 4 validation.”</i></p> <p>Additionally, in the introduction of DSD002, Annex 5, a sentence has been added, which states:</p> <p><i>“Level 3 and Level 4 message validation, as set out in this Annex, are mandatory for all DIP Users.”</i></p>
<p>A respondent suggested wording changes in the introduction of DSD002, Annex 5, including clarity on:</p> <ul style="list-style-type: none"> • The format of the Annex • Adding “by the DIP Users” into sentences • Clarity on Level 4 validation • Removal of ‘applicant and potential’ DIP User 	<p>The format of the Annex has changed. This ensures it is consistent with other DIP Subsidy Documents (DSDs) Annexes.</p> <p>Inclusion of the recommended wording by the DIP User has been added to the legal text. Additionally, the recommendation to add secondary before Level 4 has been made.</p> <p>References to applicants and potential DIP Users have been removed from the Annex entirely.</p>
<p>A respondent noted issues with the table formatting.</p>	<p>Formatting has been corrected. Blank rows in the table have been deleted.</p>
<p>A respondent noted the use of Table 3, which did not exist in the DSD.</p>	<p>References to Table 3 have been removed. The text now references Table 2.</p>
<p>A respondent noted that a new DIP Message Interface was included in Table 2. This Interface had not been implemented by the Code Body that owns the Message. It was suggest it be removed.</p>	<p>The IF-051 message reference in Table 2 has been removed.</p>
<p>A respondent queries some of the guidance within different response codes, including indicating future CRs or clarifying how it would work.</p>	<p>Amendments have been made to the guidance in the legal text in DSD002, Annex 5, to ensure it details how DIP Users should understand the response codes.</p>